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About Stone's notion of spectrum

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Abstract

This paper analyses two fundamental notes by Stone, giving two ways of representing a compact space in term of some algebra of functions over this space, in the framework of point-free topology. As applications, we give an alternative approach to the spectral theorem, and we present constructive proofs of results of Krivine and of the Kadison-Dubois theorem.

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0. Introduction

The goal of this paper is to analyse two remarkable notes by Stone [24,25]. Both describe a compact space in terms of some algebra of functions over this space. This description is intuitively in terms of “observable” quantities. Indeed, one primary source of motivation of these notes is in operator theory, where one considers an algebra generated by elements representing observable quantities. The approach of “formal” or “point-free” topology [19,13] has also the aims of describing a space not in terms of “ideal” points, but in terms of observable notions. We have thus two different ways of describing a space without using points, and these two ways are known classically to be equivalent, using representation theorems. A natural question arises if the formal approach can be connected to Stone's approach directly, without relying on points (or nonobservable notions). We present here such a connection.

This paper is organised as follows. Corresponding to the first note of Stone, we associate to a preordered ring R its real spectrum which is here defined as a distributive lattice

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$\text{Spec}_r(R)$ given by generators and relations. (In terms of points, the points of $\text{Spec}_r(R)$ are the *prime cones* of R extending the given preorder [8].) If the ring satisfies some natural conditions considered in Stone's paper, we completely characterise the ordering of this lattice and we show that it is a *normal* lattice [13,9], which means in terms of points that any point is contained in a unique maximal point.¹ We can then consider the maximal spectrum $\text{Max}(R)$ associated to it. There is a natural map from R to $C(\text{Max}(R))$ and we show constructively that, in a suitable sense, this map preserves the norm. This is one of the main point of Gelfand duality, which is proved nonconstructively in [13,4].² We show in this way that the main results in [16] have natural constructive proofs.³ In particular, we obtain constructive proofs of theorems such as Kadison-Dubois [7] by reading Krivine's arguments in a point-free setting. We then give a similar treatment for the second note of Stone. As a typical example Segal's notion of integration algebra [23] is expressed in our framework. We show then that in some cases, we can compute effectively the points of the maximal spectrum, like in [2]. Finally, we explain what happens to the case of f -rings, a structure that combines the two structures considered by Stone.

Most results in this paper are elementary results about distributive lattices given by generators and relations. We think that this provides an interesting alternative approach to the spectral theorem, even in a classical framework, and we hope that this illustrates further the insight of Riesz [21] and Stone that some basic results in functional analysis can be captured by simple algebraic statements. The versions “without points” of the various representation theorems that we present imply directly their classical version as soon as we know that the spaces we consider have enough points [13] (in classical mathematics or in intuitionistic mathematics with some form of the fan theorem), thus providing alternative proofs of these theorems. We present some theorems in the framework of the theory of locales [13] but it can be worth noting that they can be formulated as well in the predicative framework of formal topology instead [11].

1. First representation theorem

The goal of this section is to show a representation theorem, which gives a way to represent the elements f of an ordered ring R as *continuous functions* over a compact space $\text{Max}(R)$. As we say in the introduction, this compact space is obtained from a *normal* distributive lattice $\text{Spec}_r(R)$, which is a point-free description of the usual *real spectrum* associated to R , whose points are the total preorder of R , of prime support.⁴ The maximal total preorder form then a compact space $\text{Max}(R)$. This compact space $\text{Max}(R)$ will here be defined as a complete Heyting algebra given by generators and relations. The generators will be symbols $D(a)$,

¹ In term of lattices, it means that if $a \vee b = 1$ then we can find x, y such that $a \vee x = 1$, $b \vee y = 1$ and $x \wedge y = 0$.

² Our work is in the same spirit of, and directly inspired from, the work of Banaschewski and Mulvey [4], but carried out in a “real” framework, as opposed to the usual “complex” framework presentation of Gelfand duality.

³ The space $\text{Max}(R)$ is called $Sp(R)$ in Krivine's paper [16], which does not consider the space corresponding to $\text{Spec}_r(R)$.

⁴ The *support* of a preordering is the set of elements both positive and negative. It is always an ideal.

$a \in R$. Each element a of R can be thought of as a continuous function $\hat{a} \in C(\text{Max}(R))$. One intuition is that the open $D(a)$ corresponds to the set $\{\phi \in \text{Max}(R) \mid \hat{a}(\phi) > 0\}$. It will turn out that the points of $\text{Max}(R)$ can also be thought of as ring morphisms $\phi : R \rightarrow \mathbb{R}$ preserving positivity and that we have $\hat{a}(\phi) = \phi(a)$. In presence of classical logic and the axiom of choice, we recover the usual description of $\text{Max}(R)$ as a set of points. The important fact is that there are situations where one may fail to have access to the points of $\text{Max}(R)$ [4,20], for instance without the axiom of choice, or working in intuitionistic logic, while our point-free description of $\text{Max}(R)$ is still possible.⁵

1.1. Theory of total ordering

Let R be an ordered vector space over \mathbb{Q} , with a distinguished positive element 1_R . We shall use the letters a, b, c, \dots for elements of R and letters r, s, \dots for elements of \mathbb{Q} . We identify \mathbb{Q} with the vector space generated by 1_R , and we shall write 1 for 1_R , and more generally r for $r \cdot 1_R$.

Definition 1.1. $\text{Tot}(R)$ is the distributive lattice generated by the symbols $D(a)$, $a \in R$ and the axioms

$$D(a) \wedge D(-a) = 0,$$

$$D(a) = 0 \text{ if } a \leq 0,$$

$$D(a + b) \leq D(a) \vee D(b),$$

$$D(1) = 1.$$

It is clear that the points of the spectrum of $\text{Tot}(R)$ can be thought of as total preordering that refines that given preorder on the vector space R . (One suggestive way to read $D(a)$ is to read it as the proposition $a > 0$ for some total preordering refining the given preorder.)

Lemma 1.2. In $\text{Tot}(R)$ we have $D(a) \leq D(b)$ if $a \leq b$. In general, we have $D(a) \wedge D(b) \leq D(a + b)$ and $D(r) = 1$ for $r > 0$ and $D(a - r) \vee D(s - a) = 1$ whenever $r < s$.

Proof. If $a \leq b$ we have $a = b + (a - b)$ with $a - b \leq 0$. Hence $D(a) \leq D(b) \vee D(a - b)$ and $D(a - b) = 0$.

In general $a = a + b + (-b)$ and hence $D(a) \leq D(a + b) \vee D(-b)$. Since $0 = D(b) \wedge D(-b)$ it follows that we have $D(a) \wedge D(b) \leq D(a + b)$.

We have $D(a) \leq D(a + b)$ if $b \in P$ since $a = a + b - b$ and $D(-b) = 0$.

It follows that we have $1 = D(r)$ for and $r > 0$ in \mathbb{Q} . We have first $1 = D(n)$ for each natural number $n \geq 1$ and then $1 = D(m \cdot n / m)$ implies $1 = D(n / m)$.

Since $s - r = s - a + a - r$ it follows that $1 = D(s - a) \vee D(a - r)$ if $r < s$. \square

⁵ We show also later on that with a condition of separability on R and if all elements of R are *normable*, then we can also build effectively points in $\text{Max}(R)$ using only dependent choice.

1.2. Preordered archimedean rings

A *cone* in a ring R is a subset P which contains all squares and is closed by addition and multiplication. If P is a cone, a *P-cone* is a subset closed by addition, multiplication and containing P . The set P itself is clearly the least P -cone. If Π is a P -cone, the P -cone generated by Π and an element $a \in R$ is the set $\Pi + a\Pi$ since P and hence Π contains all the squares.

We consider a \mathbb{Q} -algebra R with a given cone P . Since P contains all squares $1/n^2$ it contains all positive rationals.⁶ The elements of R are thought of as operators [24] and the elements of P are the positive operators. The relation $a \leq b$ defined as $b - a \in P$ is a preorder on R such that $0 \leq a^2$ for all $a \in R$.

We assume the ring R to be *archimedean*:⁷ for all $a \in R$ there exists $r \in \mathbb{Q}$ such that $a \leq r$.

We will write $a \ll s$ whenever $a \leq s'$ for some $s' < s$ and $r \ll a$ whenever $r' \leq a$ for some $r' > r$. Constructively, it may not be the case that the set of s such that $a \ll s$ has at least upper bound $\sup a \in \mathbb{R}$. If it holds we have $\sup a < s$ in \mathbb{R} iff $a \ll s$ in R . We say that a is *normable* iff the set of s such that $-s \ll a \ll s$ has a least upper bound $\|a\| \in \mathbb{R}$.

Definition 1.3. $\text{Spec}_r(R)$ is the distributive lattice generated by the symbols $D(a)$, $a \in R$ and the axioms are the ones of $\text{Tot}(R)$ together with

$$D(ab) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b)).$$

Lemma 1.4. The schema $D(ab) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b))$ is equivalent to the conjunction of $D(a) \wedge D(b) \leq D(ab)$ and $D(ab) \leq D(a) \vee D(-b)$.

Proof. If we have $D(ab) \leq D(a) \vee D(-b)$ we get also $D(ab) \leq D(-a) \vee D(b)$ since $ab = ba$ and so

$$D(ab) \leq (D(a) \vee D(-b)) \wedge (D(-a) \vee D(b)) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b))$$

since $D(a) \wedge D(-a) = D(b) \wedge D(-b) = 0$. \square

It should be clear that the points of the spectrum of $\text{Spec}_r(R)$ can be thought of as the *prime cone* that extends the given cone on R [8]. The lattice $\text{Spec}_r(R)$ can be thought of as a point-free description of the *real spectrum* of R [8].

Lemma 1.5. In $\text{Spec}_r(R)$ we have if $r \geq 0$

$$D(r^2 - a^2) = D(r - a) \wedge D(r + a) \quad D(a^2 - r^2) = D(a + r) \vee D(-a - r).$$

The definition of $\text{Spec}_r(R)$ should be compared to Joyal's point-free definition of the Zariski spectrum of R [15], seen as a ring, which is defined as the distributive lattice generated

⁶ The ring R is thus *divisible*: for each $n \geq 1$ there exists b such that $nb = 1$.

⁷ An alternative formulation is that the ring R has a *strong unit*.

by the symbols $I(a)$, $a \in R$ and the axioms

$$I(0) = 0,$$

$$I(a + b) \leq I(a) \vee I(b),$$

$$I(1) = 1,$$

$$I(ab) = I(a) \wedge I(b).$$

These axioms are satisfied if we interpret $I(a)$ as $D(a) \vee D(-a)$ in $\text{Spec}_r(R)$.⁸

We shall need the following characterisation of $\text{Spec}_r(R)$, stated in [10], which holds more generally for all commutative rings R with a preorder such that all square are positive, but not necessarily divisible or archimedean. This is essentially a version of the formal Positivstellensatz [8,12].

Theorem 1.6. *We have*

$$D(a_1) \wedge \cdots \wedge D(a_n) \leq D(b_1) \vee \cdots \vee D(b_m)$$

in $\text{Spec}_r(R)$ iff we have a relation $m + p = 0$ where m belongs to the multiplicative monoid generated by a_1, \dots, a_n and p belongs to the P -cone generated by $a_1, \dots, a_n, -b_1, \dots, -b_m$.

Proof. We show that such a relation is an entailment relation [10,22]. Only the transitivity is not direct. We show that if M is a multiplicative monoid, if C a P -cone such that $M \subseteq C$ and $x \in R$ is such that we have some relations

$$m_1 + u_1 + (-x)v_1 = 0 \quad m_2 x^k + u_2 + x v_2 = 0$$

with $m_1, m_2 \in M$ and $u_1, v_1, u_2, v_2 \in C$ then there is a relation $m + u = 0$ with $m \in M, u \in C$. For this let $M' = M + C$ be the set of all elements of the form $m + u, m \in M, u \in C$. Since $M \subseteq C$ the set M' is closed by multiplication. We can rewrite the first relation as $m'_1 = x v_1$ with $m'_1 = m_1 + u_1 \in M'$. The second relation implies then $m_2 (x v_1)^k + u_2 v_1^k + x v_2 v_1^k = 0$ and hence is of the form $m'_2 + x v = 0$ for some $m'_2 \in M'$ and $v \in C$. It follows that $m'_1 m'_2 + x^2 v_1 v = 0$ which is of the form $m' = 0$ for some $m' = m'_1 m'_2 + x^2 v_1 v \in M'$ as desired.

Conversely, let $a_1, \dots, a_n, b_1, \dots, b_m \in R$ be given and let M be the multiplicative monoid generated by a_1, \dots, a_n , and C be the P -cone generated by $a_1, \dots, a_n, -b_1, \dots, -b_m$. If we have a relation $m + p = 0$ where $m \in M, p \in C$ then we can derive

$$D(a_1) \wedge \cdots \wedge D(a_n) \leq D(b_1) \vee \cdots \vee D(b_m)$$

from the axioms above. This follows from the following two observations.

First from Lemma 1.2, we get

$$D(a) \wedge D(x + ay) \leq D(x) \vee D(y), \quad D(x + (-b)y) \leq D(x) \vee D(y) \vee D(b)$$

⁸ In term of points this corresponds to the fact that if C is a prime cone of R , then $C \cap (-C)$ is a prime ideal of R .

and so we can derive

$$D(a_1) \wedge \cdots \wedge D(a_n) \wedge D(-p) \leq D(b_1) \vee \cdots \vee D(b_m)$$

whenever $p \in C$.

Second, we have

$$D(a_1) \wedge \cdots \wedge D(a_n) \leq D(m)$$

whenever $m \in M$. \square

Corollary 1.7. *We have $1 = D(b_1) \vee \cdots \vee D(b_m)$ iff an element of the cone generated by $-b_1, \dots, -b_m$ is $\ll 0$. In this case, there exists $r > 0$ such that $1 = D(b_1 - r) \vee \cdots \vee D(b_m - r)$.*

Proof. By Theorem 1.6 $1 = D(b_1) \vee \cdots \vee D(b_m)$ iff we have a relation $1 + p = 0$ where p is an element of the cone generated by $-b_1, \dots, -b_m$. This is equivalent that some element of the cone generated by $-b_1, \dots, -b_m$ is $\ll 0$. Since R is archimedean if this holds, for some $r > 0$, this will hold also for the cone generated by $-b_1 + r, \dots, -b_m + r$. \square

The next lemma and theorem are the key to our proof theoretic approach to Gelfand duality.

Lemma 1.8. *If $1 \leq ac$ and $0 \leq c$ then $0 \ll a$.*

Proof. See [16, Théorème 12]. In order to be self-contained, and to show that the argument is elementary, we give a sketch of the argument. Since the ring is archimedean, and $0 \leq c$ we get from $1 \leq ac$ that $0 \ll c$. We then get $0 \ll a(1 - b)$ with $0 \leq b \ll 1$. By multiplying by $1 + \cdots + b^{n-1}$ with n big enough, we get $0 \ll a$. \square

Theorem 1.9. *$D(a) = 1$ in $\text{Spec}_r(R)$ iff $0 \ll a$.*

Proof. The P -cone generated by $-a$ is $P + P(-a)$. It follows from Theorem 1.6 that $D(a) = 1$ iff there exists $b, c \geq 0$ such that $1 + b + c(-a) = 0$, that is $ca = 1 + b$. The result follows then from Lemma 1.8. \square

The following lemma will be used only towards the end of the paper.⁹ We say that a sequence of elements (x_n) in R is a Cauchy sequence iff for each $s > 0$ there exists N such that $-s \ll x_m - x_n \ll s$ if $n, m \geq N$.

Lemma 1.10. *For all $x \in P$ we can build a Cauchy sequence (x_n) of elements in P such that $x_n^2 \rightarrow x$.*

⁹ This is the usual lemma that R admits square root of positive elements if R is complete. Notice that the proof is directly constructive, and it corresponds to the usual Taylor expansion of $(1 - x)^{1/2}$.

Proof. We can assume $0 \leq x \leq 1$. We define the two sequences (y_n) and (z_n) of elements in $[0, 1]$ defined by $y_0 = z_0 = 0$ and

$$y_{n+1} = \frac{1}{2}(1 - x + y_n^2) \quad z_{n+1} = \frac{1}{2}(1 + z_n^2)$$

The sequence z_n is in \mathbb{Q} . Clearly, we have $y_n \leq z_n$ for all n .

I claim that we have for all n

$$y_n \leq y_{n+1} \quad z_n \leq z_{n+1} \quad y_{n+1} - y_n \leq z_{n+1} - z_n.$$

This is proved by induction from the equalities

$$y_{n+1} - y_n = \frac{1}{2}(y_n + y_{n-1})(y_n - y_{n-1}) \quad z_{n+1} - z_n = \frac{1}{2}(z_n + z_{n-1})(z_n - z_{n-1}).$$

It follows that we have

$$(1 - y_n)^2 - x = 2(y_{n+1} - y_n) \leq 2(z_{n+1} - z_n).$$

In order to conclude, all is left is to show that (z_n) has for limit 1. We know that $0 \leq z_n \leq z_{n+1} \leq 1$ and we have

$$1 - z_{n+1} = (1 - z_n)1/2(1 + z_n) \leq (1 - z_n)(1 - \varepsilon/2)$$

if $z_n \leq 1 - \varepsilon$. This shows that if $(1 - \varepsilon/2)^N \leq \varepsilon$ we have $1 - z_n \leq \varepsilon$ for all $n \geq N$. \square

1.3. The spectrum of an archimedean ring

Theorem 1.11. *The distributive lattice $\text{Spec}_r(R)$ is normal. Its corresponding compact regular frame [10] can be described as the frame $\text{Max}(R)$ generated by the symbols $D(a)$, $a \in R$ and the relations defined by $\text{Spec}_r(R)$ together with the continuity axiom*

$$D(a) = \bigvee_{r>0} D(a - r).$$

We have $D(a) \leq D(b)$ in $\text{Max}(R)$ iff for all $r > 0$ there exists $s > 0$ such that $D(a - r) \leq D(b - s)$ in $\text{Spec}_r(R)$. The space defined by $\text{Max}(R)$ is compact completely regular [4,20].

Proof. We have $1 = D(a - r) \vee D(s - a)$ if $r < s$ and $D(a - r) \wedge D(r - a) = 0$. That $\text{Spec}_r(R)$ is normal follows then from Corollary 1.7. The proof of this corollary shows that we have $D(a) = \bigvee_{r>0} D(a - r)$ in the corresponding compact regular frame. \square

Theorem 1.12. *The points of $\text{Max}(R)$ can be identified with ring morphisms $\phi : R \rightarrow \mathbb{R}$ such that $\phi(a) \geq 0$ if $a \geq 0$.*

Proof. A point ϕ of $\text{Max}(R)$ associates a truth value to each generator $D(a)$ of $\text{Max}(R)$. We can then define a Dedekind real $\phi(a)$ by taking $\phi(a) \in (r, s)$ iff $D(a - r)$ and $D(s - a)$ become true under this interpretation. It is direct that $\phi : R \rightarrow \mathbb{R}$ preserves addition and

sends positive elements to positive reals, and Lemma 1.5 shows that it preserves squares, and hence multiplication. \square

Thus this space coincides with the space considered by Stone [24]. Our results give a purely phenomenological description of this space. Since, classically, a compact regular frame has *enough points* [13] all statements about the space $\text{Max}(R)$ are directly equivalent to the usual statements with points. A simplification of the present real framework compared to the complex case, noticed also in [13], is that we do not need to rely on Gelfand–Mazur’s theorem like in Ref. [5].

1.4. Gelfand duality, main lemma

Theorem 1.13. $D(a) = 1$ in $\text{Max}(R)$ iff $0 \ll a$.

Proof. By theorem 1.11, if we have $1 = D(a)$ in $\text{Max}(R)$ then we have $1 = D(a - s)$ for some $s > 0$ in $\text{Spec}_r(R)$. The assertion follows then from Theorem 1.9. \square

Corollary 1.14. $1 = D(s - a) \wedge D(a + s)$ in $\text{Max}(R)$ iff we have $-s \ll a \ll s$ in R .

This is one of the main lemma in establishing Gelfand’s duality [13]. One can contrast our purely constructive development, based on Theorem 1.13 with the treatment in [4], which is based on the nonconstructive use of Barr’s theorem.

In general, to give a continuous function $f \in C(X)$ on a frame X is to give two families of elements of X U_r and V_s , indexed by rationals $r, s \in \mathbb{Q}$ and satisfying some conditions. Intuitively, U_r stands for $f^{-1}(r, \infty)$ and V_s for $f^{-1}(-\infty, s)$. The conditions are

$$\bigvee_r U_r = \bigvee_s V_s = 1,$$

$$U_r = \bigvee_{r' > r} U_{r'}, V_s = \bigvee_{s' < s} V_{s'},$$

$$1 = U_r \vee V_s \text{ if } r < s,$$

$$0 = U_r \wedge V_s \text{ if } s \leq r.$$

These conditions hold if we take $X = \text{Max}(R)$ and $U_r = D(a - r)$ and $V_s = D(s - a)$ for a fixed $a \in R$. Hence any element $a \in R$ defines a continuous map $\hat{a} \in C(\text{Max}(R))$. If ϕ is a point of $\text{Max}(R)$ it follows from this definition that we have $\hat{a}(\phi) = \phi(a)$.

Corollary 1.14 can then be interpreted as a point-free formulation of the fact that the uniform norm of $\hat{a} \in C(\text{Max}(R))$ is exactly the norm of a in R . If we know that the space $\text{Max}(R)$ has enough points (in classical mathematics or in intuitionistic mathematics with some form of the fan theorem) this corollary implies directly the usual statement of Gelfand duality.

1.5. A generalisation

Corollary 1.14 can also be seen as a point-free formulation of the Kadison–Dubois theorem [7]. The theorem of Kadison–Dubois is actually more general in that it does not assume that P contains all squares. In this subsection we show how to deal with this generalisation, following and simplifying slightly [17].

Lemma 1.15. *For all n we can write $x^2 + 1 = P(n - x, n + x)$ where $P(X, Y)$ is a rational homogeneous polynomial with coefficients ≥ 0 .*

Proof. We use the change of variables $y(n + x) = n - x$. The question reduces to find k such that all coefficients of

$$(1 + y)^k \left(1 - 2 \frac{n^2 - 1}{n^2 + 1} y + y^2 \right)$$

are ≥ 0 . A small computation shows that this is the case iff $n^2 - 1 \leq k$. If we write

$$\sum a_i y^i = (1 + y)^k \left(1 - 2 \frac{n^2 - 1}{n^2 + 1} y + y^2 \right),$$

we can take $P(X, Y) = \sum a_i X^i Y^{k+2-i}$. \square

Notice that $P(X, Y)$ is of degree $n^2 + 1$. We do not know if this degree is optimal.

Corollary 1.16. *If R is a \mathbb{Q} -algebra with an archimedean order containing \mathbb{Q}^+ , but without assuming that all squares are ≥ 0 then we have $x^2 + r \geq 0$ for all $x \in R$ and all rationals $r > 0$.*

Let R be a \mathbb{Q} -algebra with an archimedean order containing \mathbb{Q}^+ , but without assuming that all squares are ≥ 0 . This means that we have a subset $\Omega \subseteq R$ closed under addition and multiplication, $\mathbb{Q}^+ \subseteq \Omega$ and for all $x \in R$ there exists n such that $n - x \in \Omega$. Let now Ω' be the cone generated by Ω that is the least subset of R closed under addition and multiplication, containing Ω and all squares $x^2, x \in R$.

Corollary 1.17. *If $x \in \Omega'$ and r is a rational > 0 then $x + r \in \Omega$.*

Proof. It is enough to notice that the set of all elements $x \in R$ such that $x + r \in \Omega$ for all $r > 0$ is a cone containing Ω and \mathbb{Q}^+ . \square

We get a constructive proof of the following result, due to Krivine [16].

Theorem 1.18. *Let $Q(x_1, \dots, x_n)$ a rational polynomial which is > 0 on $[0, 1]^n$, then we can write $Q = P(x_1, \dots, x_n, 1 - x_1, \dots, 1 - x_n)$ where P is a rational polynomial with all coefficients ≥ 0 .*

Proof. Let R be the \mathbb{Q} -algebra generated by x_1, \dots, x_n . We let Ω be the subset of R generated by addition, multiplication, and the elements \mathbb{Q}^+ and x_i and $1 - x_i$. The polynomial $Q(x_1, \dots, x_n)$ can be seen as an element x_Q of the algebra R . If Ω' is the cone generated by Ω , and R is ordered by Ω' the hypothesis can be formulated in a point-free way as the fact that we have $D(x_Q) = 1$ in $\text{Max}(R)$. The result follows then from Theorem 1.13 and Corollary 1.17. \square

The polynomial P can be computed from any given proof that $D(x_Q) = 1$. Notice that such a proof can be computed uniformly from Q and an explicit lower bound > 0 of Q on $[0, 1]^n$ by computing a finite decomposition of $[0, 1]^n$ such that the variation of Q is small enough on each part.

1.6. Example

Let B be a Boolean algebra. We let R to be the \mathbb{Q} -algebra generated by symbols $v(b)$, $b \in B$ with the relations

$$\begin{aligned} v(b_1 b_2) &= v(b_1) v(b_2), & v(b_1) + v(b_2) &= v(b_1 b_2) + v(b_1 \vee b_2), \\ v(1) &= 1, & v(0) &= 0. \end{aligned}$$

We can define $0 \leq a$ to mean that we can write $a = \sum r_i v(b_i)$ with $0 \leq r_i$. Notice that any element $a \in R$ can be written $\sum r_i v(b_i)$ with $b_i b_j = 0$ if $i \neq j$. It follows from this remark that we have $0 \leq a^2$ for all a : indeed we have $a^2 = \sum r_i^2 v(b_i)$. It is clear also that we have $0 \leq v(b) \leq 1$ for all $b \in B$ and hence that R is archimedean.

Theorem 1.19. *The space $\text{Max}(R)$ is the Stone dual space of B .*

Proof. In this case $\text{Max}(R)$ coincides with the spectral frame defined by $\text{Spec}_r(R)$ and $\text{Spec}_r(R)$ coincides with B . \square

The construction of this ring R is implicit in [26], and is useful for analysing measures on B . This is because $v : B \rightarrow R$ is the *universal valuation*. If $w : B \rightarrow S$ is another valuation in an ordered \mathbb{Q} -vector space S , with a distinguished positive element 1, then there exists one and only one map $f : R \rightarrow S$ such that $f \circ v = w$.

2. Second representation theorem

2.1. Lattice-ordered groups

Let R be now a lattice ordered abelian group (or *l-group*) [3,18]. The elements of R are written a, b, c, \dots . The group operation of R is written additively and the sup operation is written $a \vee b$. We shall use the following elementary facts, that are proved in the Refs. [3,18].

Lemma 2.1. *We have $c + (a \vee b) = (c + a) \vee (c + b)$. Any two elements a, b have an inf $a \wedge b$ and $a + b = a \wedge b + a \vee b$. If $0 \leq y$ and $x \perp z$ and $x \leq y + z$ then $x \leq y$. Considered as*

a lattice, R is distributive. For $n \geq 1$ we have $n(a \vee b) = na \vee nb$ and $n(a \wedge b) = na \wedge nb$, also $na \geq 0$ implies $a \geq 0$.

This implies that R can be embedded as an l -group in a *divisible* lattice ordered group where for each x and $n \geq 1$ there exists exactly one solution for $ny = x$. To simplify the presentation, we will assume in the following that R is divisible; it has then naturally the structure of a Riesz space over the set of rationals \mathbb{Q} [18].

We write as usual a^+ for $a \vee 0$ and a^- for $(-a) \vee 0$. We say that a is *positive* iff $a \geq 0$. Let P be the set of positive elements. We write $x \perp y$ if $x \wedge y = 0$ (notice that this implies $0 \leq x, 0 \leq y$).

Lemma 2.2. We have $a = a^+ - a^-$ and $a^+ \perp a^-$. Also if $a = b - c$ and $b \perp c$ then $b = a^+$ and $c = a^-$.

Proof. See [3,18]. \square

If $b \in P$ we write $a \leq b$ to mean that there exists $n \geq 1$ such that $a \leq nb$. If $a, b \in P$ we write $a \sim b$ iff $a \leq b$ and $b \leq a$.

We assume now that R has a strong unit 1: we have $0 \leq 1$ and $a \leq 1$ for all $a \in R$. An important consequence is the following fact.

Lemma 2.3. If $a \perp 1$ then $a = 0$. If $0 \ll a^+$ then $a = a^+$.

Proof. We have $a \leq n1$ for some n and $a \perp 1$ implies $a \perp n1$, hence $a = 0$.

If $0 \ll a^+$ that is $1 \leq na^+$ for some $n > 0$ we get $a^- \perp 1$ since $a^- \perp na^+$ and hence $a^- = 0$. \square

The following remark will be important, and it has a direct proof from Lemmas 2.1 and 2.2.

Proposition 2.4. For $a, b, c \in P$ we have

$$a \leq c, b \leq c \rightarrow a \vee b \leq c \quad c \leq a, c \leq b \rightarrow c \leq a \wedge b,$$

hence the structure $(P / \sim, \wedge, \vee, \leq)$ forms a distributive lattice L .

2.2. Real spectrum of an l -group

We associate to R a distributive lattice $\text{Spec}_r(R)$. It is generated by the symbols $D(a)$, $a \in R$ and the axioms are the ones of the lattice $\text{Tot}(R)$ together with the schema

$$D(a \vee b) = D(a) \vee D(b).$$

Proposition 2.5. In $\text{Spec}_r(R)$ we have $D(a^+) = D(a)$ and $D(a \wedge b) = D(a) \wedge D(b)$.

Proof. Since $D(a \vee 0) \leq D(a) \vee D(0)$ we get $D(a \vee 0) \leq D(a)$, that is $D(a^+) \leq D(a)$. It follows that we have $D(u^+) \wedge D(u^-) = 0$ for all $u \in R$. Since $a = a \wedge b + (a - b)^+$ and

$b = a \wedge b + (a - b)^-$ we have also

$$D(a) \leq D(a \wedge b) \vee D((a - b)^+), \quad D(b) \leq D(a \wedge b) \vee D((a - b)^-)$$

and hence $D(a) \wedge D(b) \leq D(a \wedge b)$. \square

A similar reasoning would show.

Proposition 2.6. *In the theory describing the lattice $\text{Tot}(R)$ we have equivalence between the three schemas*

$$D(a \vee b) = D(a) \vee D(b) \text{ for all } a, b \in R,$$

$$D(a^+) = D(a) \text{ for all } a \in R,$$

$$D(a \wedge b) = D(a) \wedge D(b) \text{ for all } a, b \in R.$$

Theorem 2.7. *The lattice $\text{Spec}_r(R)$ coincides with the lattice L with the interpretation $D(a) = a^+$. In particular, $D(a) \leq D(b)$ in $\text{Spec}_r(R)$ iff $a^+ \leq b^+$.*

Proof. Using Proposition 2.5, it is easy to see that if $a_1^+ \wedge \cdots \wedge a_n^+ \leq b_1^+ \vee \cdots \vee b_m^+$ then

$$D(a_1) \wedge \cdots \wedge D(a_n) \leq D(b_1) \vee \cdots \vee D(b_m)$$

in $\text{Spec}_r(R)$. The other direction follows from the fact that $a \mapsto a^+$ satisfies the conditions of $\text{Tot}(R)$ and the equality $(a \vee b)^+ = a^+ \vee b^+$. \square

Corollary 2.8. *We have $1 = D(b_1) \vee \cdots \vee D(b_m)$ in $\text{Spec}_r(R)$ iff $0 \ll b_1^+ \vee \cdots \vee b_m^+$. If this holds there exists $r > 0$ such that $1 = D(b_1 - r) \vee \cdots \vee D(b_m - r)$.*

Corollary 2.9. *We have $D(a) = 1$ in $\text{Spec}_r(R)$ iff $0 \ll a$.*

Proof. We have first $0 \ll a^+$ by Theorem 2.7 and then $0 \ll a$ by Lemma 2.3. \square

2.3. The spectrum of an archimedean divisible l -group

Theorem 2.10. *The lattice $\text{Spec}_r(R)$ is normal. The corresponding compact regular frame $\text{Max}(R)$ of its maximal ideals is defined by generators $D(a)$, $a \in R$, the axioms of $\text{Spec}_r(R)$ and the continuity axiom*

$$D(a) = \bigvee_{r>0} D(a - r).$$

The frame $\text{Max}(R)$ is completely regular and its points can be identified with l -group morphisms $\phi : R \rightarrow \mathbb{R}$ such that $\phi(1) = 1$.

Proof. This follows from Corollary 2.8. \square

Corollary 2.11. *We have $D(a) = 1$ in $\text{Max}(R)$ iff $0 \ll a$.*

3. Stone–Weierstrass theorem

Let X be an arbitrary compact completely regular locale. We let V be a sub \mathbb{Q} -vector space of $C(X)$ such that $1 \in V$ and $f \vee g \in V$ if $f, g \in V$ (hence also $f \wedge g \in V$) and the collection of open sets $D(f) = f^{-1}(0, \infty)$ form a basis for the topology of X . The next proposition states the existence of partition of unity, without having to mention points [6].

Proposition 3.1. *If U_j is an arbitrary covering of X it is possible to find a partition of unity p_1, \dots, p_n with $p_i \in V$, $0 \leq p_i \leq 1$ and $\sum p_i = 1$ and each open $D(p_i)$ is a formal subset of some U_j .*

Proof. Given any covering U_j we can find positive elements a_1, \dots, a_n such that the formal open $D(a_i)$ is a formal subset of some U_j and

$$X = D(a_1) \vee \dots \vee D(a_n) = D(a_1 \vee \dots \vee a_n).$$

We have then

$$1 \leq N(a_1 \vee \dots \vee a_n) = Na_1 \vee \dots \vee Na_n$$

for some $N \geq 1$. If we define $q_i = 1 \wedge Na_i$ we have thus $\vee q_i = 1$. If we define next $p_i = q_i - (q_i \wedge \vee_{j < i} q_j)$, we have $0 \leq p_i \leq 1$, each basic open $D(p_i) \subseteq D(a_i)$ is a subset of some U_j and $\sum_{j < i} p_j = \vee_{j < i} q_j$. In particular $\sum p_i = \vee q_i = 1$. \square

Corollary 3.2. *V is dense in $C(X)$.*

We can now recover the density results stated in Refs. [24,25].

Theorem 3.3. *If R is an ordered archimedean \mathbb{Q} -algebra or Riesz space over \mathbb{Q} , the set $\{\hat{a} | a \in R\}$ is dense in $C(\text{Max}(R))$.*

Proof. This is direct from the Corollary 3.2 in the case where R is a Riesz space, and in the case of an algebra, this follows also from Lemma 1.10. \square

Given the results of this paper, it would not be difficult from them to develop Gelfand duality in the real case like in Ref. [13] but in a constructive way.

4. Integration algebra

An *integration algebra* [23] is a pair (A, E) where A is a \mathbb{Q} -algebra and E a linear functional on A such that

$$E(a^2) \geq 0.$$

For all elements b there exists c_b such that $E(ba^2) \leq c_b E(a^2)$ for all $a \in A$.

Segal argues in [23] that this is a natural framework in which to develop integration theory, and gives a representation theorem using complex Gelfand duality. We show here

that our framework directly gives a representation theorem in the real case. Let (A, E) be an integration algebra. We write $(a, b) = E(ab)$ for $a, b \in R$. We can think now of A as a preHilbert space. In particular, we prove as usual.

Lemma 4.1. *If $a, b \in A$ we have $(a, b)^2 \leq (a, a)(b, b)$.*

Each element a of A defines a bounded self-adjoint operator $T_a(b) = ab$ on this space. We let R be the ring of operators generated by the unit operator and the operators T_a , $a \in A$. We define a subset P on R by

$$u \in P \equiv \forall a \in A. 0 \leq (ua, a).$$

Each operator in R is auto-adjoint and we can prove as usual.

Lemma 4.2. *If $u \in P$ and $(ua, a) \leq r(a, a)$ for all $a \in A$ then $(ua, ua) \leq r^2(a, a)$ for all $a \in A$.*

We have clearly $u^2 \in P$ for all $u \in R$, and more generally $vu^2 \in P$ if $v \in P$. What is remarkable is the following result.

Proposition 4.3. *If $u \in P$ and $v \in P$ then $uv \in P$.*

Proof (Riesz). Let us write $u_1 \leq u_2$ iff $u_2 - u_1 \in P$ and, for $u_n \in P$, $u_n \rightarrow 0$ iff for all $r > 0$ there exists N such that $u_n \leq r$ if $n \geq N$.

By axiom 2, we can assume $0 \leq v \leq 1$.

We define $v_0 = v$, $v_{n+1} = v_n - v_n^2$. Since

$$v_{n+1} = v_n(1 - v_n)^2 + (1 - v_n)v_n^2 \geq 0 \quad 1 - v_{n+1} = 1 - v_n + v_n^2,$$

we have $0 \leq v_n \leq 1$ for all n . Furthermore $v_n - v_{n+1} = v_n^2$ and hence $v_{n+1} \leq v_n$. Also,

$$v_n^2 - v_{n+1}^2 = v_n^2(v_n + v_{n+1})$$

and hence $v_{n+1}^2 \leq v_n^2$.

Since $v = v_1^2 + \dots + v_{n-1}^2 + v_n$ we have $v_n^2 \leq v/n$ and so $v_n^2 \rightarrow 0$. It follows from Lemma 4.2 that $uv_n \rightarrow 0$ and since $uv - uv_n = uv_0^2 + \dots + uv_{n-1}^2 \geq 0$ we get $uv \geq 0$. \square

Theorem 4.4. *If (A, E) is an integration algebra, the set P defined by*

$$u \in P \equiv \forall a \in A. 0 \leq (ua, a)$$

is a cone and defines an archimedean preordering on R such that $0 \leq u^2$ for all u .

We can thus apply the result of the first part of the paper and consider the formal compact Hausdorff space $\text{Max}(R)$, and the elements of R can be thought of as functions on the space $\text{Max}(R)$.

For a typical application, if G is a compact abelian group of unit e , and A is the algebra $C(G)$ with the convolution product, and we consider $E(a) = a(e)$, then the open subset

$\Sigma = \bigcup_{a \in A} D(a)$ can be identified with the space of characters over G [2]. In this case, each operator T_a is compact, and hence each elements of R is normable [2]. The next section shows in such a case how to build effectively some points of $\text{Max}(R)$, using dependent choice.

5. Positivity on $\text{Max}(R)$

We state first a general result on compact completely regular locales. We refer to [14] for a definition of open locales. Intuitively, it means that we have a predicate on open subsets, called positivity predicate, which expresses when an open is inhabited.¹⁰

Theorem 5.1. *If X is a compact completely regular locale, then X is open iff for all $f \in C(X)$ there exists $\sup f \in \mathbb{R}$ such that $\sup f < s$ iff $f(x) < s$ for all $x \in X$.*¹¹

Proof. In one direction, we define the open $D(f)$ to be positive iff $\sup f > 0$. It is then direct to check that this defines a positivity predicate.

Conversely, if X is open and $f \in C(X)$ we can find an arbitrary ε approximation of the supremum of f by considering a finite covering of X by positive open of the form $f^{-1}(r, s)$, with $s - r < \varepsilon$. \square

We deduce the following fact, which holds if R is a divisible archimedean ring or a divisible l -group.

Theorem 5.2. *$\text{Max}(R)$ is open iff for all $f \in R$ there exists $\sup f \in \mathbb{R}$ such that $\sup f < s$ iff $f \ll s$.*

In the case where $\text{Max}(R)$ is open, we can define $\|a\|$ to be $\sup a \vee \sup(-a)$ in \mathbb{R} and Corollary 1.14 gets a sharper version.

Theorem 5.3. *For all $a \in R$, the real $\|a\|$ is equal to the uniform norm of the map $\hat{a}: \text{Max}(R) \rightarrow \mathbb{R}, \phi \mapsto \phi(a)$.*

We can also make a connection with the spectral theorem as presented in [2].

Theorem 5.4. *If R is separable, that is contains a dense sequence of elements a_n , and $\text{Max}(R)$ is open, for each $f \in R$ such that $\sup f > 0$ we can, using dependent choice, find a point $\phi: R \rightarrow \mathbb{R}$ of $\text{Max}(R)$ such that $\phi(f) > 0$.*

¹⁰ A basic axiom is that if a positive open is covered by a family, then at least one open in this family should be positive. In particular the empty open is not positive.

¹¹ To give $f \in C(X)$ is to give two families of open $f^{-1}(-\infty, s)$ and $f^{-1}(r, \infty)$ satisfying some conditions. We write “ $f(x) < s$ for all $x \in X$ ” as a suggestive way to state that $X = f^{-1}(-\infty, s)$.

Proof. Let us write $a \in (p, q)$ for the open $D(q - a) \wedge D(a - p)$ of $\text{Max}(R)$. We can find, using dependent choice, $r > 0$ and a sequence $q_n \in \mathbb{Q}$ such that all open sets

$$D(f - r) \wedge a_1 \in (q_1 - 1/2, q_1 + 1/2) \wedge \cdots \wedge a_n \in (q_n - 2^{-n}, q_n + 2^{-n})$$

are positive, that is can be written $D(g)$ with $g \in C(\text{Max}(R))$ such that $\sup g > 0$. If $b \in R$ we can find a_{k_n} such that a_{k_n} converges to b . It can then be shown that q_{k_n} converges to a limit l . For this it is enough to notice that if the open

$$a \in (p - r, p + r) \wedge b \in (q - s, q + s)$$

is positive and $|b - a| \leq t$ then $|q - p| < r + s + t$. Indeed if $|q - p| \geq r + s + t$ then this open is empty and hence cannot be positive. If we take $\phi(b) = l$ we have defined a function $\phi : R \rightarrow \mathbb{R}$, which is a point such that $\phi(f) > 0$. \square

Notice however that it does not mean, even in this case, that the space $\text{Max}(R)$ has *enough points* constructively (intuitively the constructive points are recursive and there is not enough recursive points in general). With classical logic and the axiom of choice however, we know that $\text{Max}(R)$ being compact regular, has enough points [13].

6. f -Ring

The structure of f -ring combines the two structures considered by Stone [1]. We consider only the case where we have a strong unit 1, in which case the structure can be simply described as an ordered ring which has also a binary sup operation. A typical example is provided by the Section 1.6.

Lemma 6.1. *In an f -ring we have $ab=0$ whenever $a \perp b$, and $|a|^2=a^2$ and $a(b \wedge c)=ab \wedge ac$ if $a \geq 0$. If $a, b \geq 0$ and $c \perp d$ then $ac \perp bd$.*

Proof. Assume $a \perp b$. We have n such that $a \leq n$ and $b \leq n$. We have then also $ab \leq an$, $ab \leq bn$ and since $na \perp nb$ we have $ab = 0$.

If $a \in R$ we have $a = a^+ - a^-$, $|a| = a^+ + a^-$ and $a^+ \perp a^-$. It follows that $a^2 = (a^+)^2 + (a^-)^2 = |a|^2$. \square

Corollary 6.2. *We have $(ab)^+ = a^+b^+ + a^-b^-$ and $(ab)^- = a^-b^+ + a^+b^-$.*

Proof. We have $ab = (a^+ - a^-)(b^+ - b^-) = (a^+b^+ + a^-b^-) - (a^-b^+ + a^+b^-)$. Since $a^+ \perp a^-$ and $b^+ \perp b^-$ we have also $a^+b^+ + a^-b^- \perp a^-b^+ + a^+b^-$. Hence the result. \square

Lemma 6.3. *We have $(a - r)^+ \wedge b^+ \leq 1/r(ab)^+$ if $r > 0$.*

Proof. Using Corollary 6.2 we reduce this to $(a - r)^+ \wedge b^+ \leq 1/ra^+b^+$. Writing $u = (a - r)^+ \wedge b^+$ this in turn follows from $ru \leq a^+u$ or $0 \leq u(a^+ - r)$. This holds since $u(a^+ - r)^- = 0$ because $u \leq (a^+ - r)^+$ and Lemma 6.1. \square

Theorem 6.4. *Let R be an f -ring with a strong unit. In the lattice $\text{Tot}(R)$ the schema*

$$D(ab) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b))$$

implies

$$D(a \vee b) = D(a) \vee D(b).$$

In the other direction the schema

$$D(a \vee b) = D(a) \vee D(b)$$

together with the continuity axiom $D(a) = \bigvee_{r>0} D(a - r)$ implies

$$D(ab) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b)).$$

Proof. Assume

$$D(ab) = (D(a) \wedge D(b)) \vee (D(-a) \wedge D(-b)).$$

Notice that this implies $D(a) \wedge D(b) = 0$ if $ab = 0$. By Proposition 2.6 it is enough to show $D(a^+) = D(a)$. We have $D(a^2) = D(a) \vee D(-a)$. Hence, in particular $D(x^2) = D(x)$ if $0 \leq x$. By Lemma 2.2

$$D(a^2) = D(|a|^2) = D(|a|) \leq D(a^+) \vee D(a^-).$$

Since $D(a^+) \leq D(|a|)$, $D(a^-) \leq D(|a|)$ it follows that we have

$$D(|a|) = D(a^+) \vee D(a^-) = D(a) \vee D(-a).$$

We have $a^+a^- = 0$ by Lemma 2.2 and hence $D(a^+) \wedge D(a^-) = 0$. Since $D(a) \leq D(a^+)$ and $D(-a) \leq D(a^-)$ it follows that we have $D(a) = D(a^+)$. Hence the result.

Conversely, assume the continuity axiom and $D(a \vee b) = D(a) \vee D(b)$. We use Lemma 1.4 and prove $D(a) \wedge D(b) \leq D(ab)$ and $D(ab) \leq D(a) \vee D(-b)$.

Using Theorem 2.7 we reduce, for each $r > 0$,

$$D(a - r) \wedge D(b - r) \leq D(ab)$$

to the inequality $(a - r)^+ \wedge b^+ \leq 1/r(ab)^+$ which is Lemma 6.3. By continuity this implies $D(a) \wedge D(b) \leq D(ab)$. We show next $D(ab) \leq D(a) \vee D(-b)$ using the fact that we have a strong unit: there exists n such that $|a| \leq n$ and $|b| \leq n$. It is then direct that we have, using Corollary 6.2,

$$(ab)^+ = a^+b^+ + a^-b^- \leq n(a^+ + b^-),$$

which by Theorem 2.7 implies $D(ab) \leq D(a) \vee D(-b)$. \square

7. Conclusion

In physical terms, both algebraic structures, ordered ring and l -group, cover the case of a system of real, simultaneously observable physical quantities as envisaged in the quantum

theory. It would be interesting to compare in the present constructive framework the generalisation of these two algebraic structures in the case where the quantities represented by the elements of the structure may not be always simultaneously observable. In the ring case, one takes away the commutativity axiom, and considers that two quantities are simultaneously observable iff the corresponding operators commute. In the l -group case, one has to take away the lattice axioms, and consider that two quantities are simultaneously observable iff the corresponding operators have a least upper bound.

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